

General Assembly

Raised Bill No. 5504

February Session, 2020

LCO No. 2842



Referred to Committee on JUDICIARY

Introduced by: (JUD)

AN ACT CONCERNING STATE REGISTRATION OF ENTITIES THAT PROVIDE COURT REPORTING SERVICES AND THE LICENSURE OF COURT REPORTERS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective July 1, 2020) As used in this section and
- 2 sections 2 to 9, inclusive, of this act:
- 3 (1) "Board" means the State Board of Examiners of Court Reporters
- 4 appointed under section 2 of this act;
- 5 (2) "Commissioner" means the Commissioner of Consumer
- 6 Protection;
- 7 (3) "Court reporting" means the verbatim transcription of the spoken
- 8 word of a legal proceeding and the production and billing of the
- 9 transcript of a legal proceeding;
- 10 (4) "Court reporter" means any individual or entity, foreign or
- 11 domestic, engaged in court reporting;
- 12 (5) "Licensed court reporter" means an individual who holds a

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- license, pursuant to sections 6 and 7 of this act, to transcribe verbatim
- 14 the spoken word of a legal proceeding in this state. "Licensed court
- 15 reporter" does not include an individual who is an official court
- 16 reporter;
- 17 (6) "Final transcript" means the final transcribed version of the spoken
- word of a legal proceeding, irrespective of whether such transcribed
- 19 version is the original or a copy;
- 20 (7) "Legal proceeding" includes, but is not limited to: (A) A
- 21 deposition; (B) an arbitration proceeding; (C) a mediation proceeding;
- 22 (D) an administrative hearing; or (E) any similar proceeding, incident to
- 23 an inchoate or pending legal matter in this state; and
- 24 (8) "Official court reporter" means a court reporter employed, hired
- 25 or appointed by a state or federal authority to make a verbatim
- 26 transcription of the spoken word of a legal proceeding, Workers'
- 27 Compensation Commission proceeding, or other official state or federal
- 28 administrative proceeding.
- Sec. 2. (NEW) (Effective July 1, 2020) (a) There is established within the
- 30 Department of Consumer Protection the State Board of Examiners of
- 31 Court Reporters.
- 32 (b) The board shall be composed of six members, appointed by the
- 33 Governor as follows:
- 34 (1) An attorney who is admitted to practice law in this state and in
- 35 good standing and who shall be selected from a list submitted by the
- 36 executive committee of the Connecticut Trial Lawyers Association;
- 37 (2) Two members of the public; and
- 38 (3) Three court reporters who shall be selected from a list submitted
- 39 by the Connecticut Court Reporters Association.
- 40 (c) All initial appointments to the board shall be made not later than
- 41 September 1, 2020, and shall terminate on August 31, 2023, regardless of

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when the initial appointment was made. Any member of the board may serve more than one term.

- (d) The Governor shall select the chairperson of the board from among the members of the board. The chairperson shall schedule the first meeting of the board, which shall be held not later than October 1, 2020.
- 48 (e) The Commissioner of Consumer Protection shall provide 49 administrative support to the board.

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- (f) Appointed members of the board shall serve for three-year terms, which shall commence on the date of appointment. Members shall continue to serve until their successors are appointed.
- (g) Any vacancy shall be filled by the Governor. Any vacancy occurring other than by expiration of term shall be filled for the balance of the unexpired term.
- 56 (h) The board shall (1) have oversight and enforcement authority over 57 the provisions of sections 3 to 9, inclusive, of this act, including, but not 58 limited to, the registration requirements set forth in section 3 of this act, 59 the examination and licensure requirements set forth in sections 6 and 7 60 of this act and the course of conduct requirements set forth in sections 61 3, 4, 5, 8 and 9 of this act; and (2) perform such other acts as may be 62 necessary and appropriate to carry out the duties described in this 63 section.
 - Sec. 3. (NEW) (*Effective July 1, 2020*) (a) On or before October 1, 2020, any entity that is engaged in the business of providing court reporting services, soliciting business as a court reporting service or making representations to be, or advertising as, a court reporting service shall obtain a certificate of registration from the Department of Consumer Protection on forms prescribed by the commissioner.
 - (b) The commissioner shall assess an initial registration fee of two hundred fifty dollars on any entity seeking a certificate of registration from the department pursuant to subsection (a) of this section. Such

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registration fee shall be payable at the time of registration. All certificates issued under this section shall expire annually on January fifteenth. A holder of a certificate of registration may renew such certificate by filing an application for renewal with the department. The fee for renewal of a certificate of registration shall be two hundred fifty dollars.

- (c) No entity shall engage in the business of providing court reporting services, soliciting business as a court reporting service or making representations to be, or advertising as, a court reporting service in the state without a certificate of registration issued in accordance with the provisions of this section. Any entity that obtains a certificate of registration under this section shall: (1) Display the entity's department-issued registration number on its business card, stationery, transcripts, advertisements and any other document used by such entity in the provision of court reporting services, and (2) comply with the provisions of this section and sections 4 to 9, inclusive, of this act.
- (d) The registration requirements prescribed by this section shall not apply to any individual who is (1) an official court reporter, or (2) licensed as court reporter pursuant to sections 6 and 7 of this act.
- Sec. 4. (NEW) (*Effective July 1, 2020*) (a) The State Board of Examiners of Court Reporters, established in section 2 of this act, after a hearing conducted in accordance with chapter 54 of the general statutes, may suspend or revoke the certificate of registration of an entity providing court reporting services, if the holder of such certification has been found by the board to have knowingly made a false, misleading or deceptive representation relating to the provision of court reporting services.
- (b) In addition to any action that may be taken by the board pursuant to subsection (a) of this section, the board may suspend or revoke the certificate of registration of an entity providing court reporting services for:
- 104 (1) Failing to deliver a transcript to a client or court in a timely

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- (2) Producing an incomplete transcript, unless such incomplete transcript was produced upon the order of a court, agreement of the parties or request of a party;
- 109 (3) Failing to charge all parties or their attorneys the same rate or 110 charge for like services performed in a proceeding, including any charge 111 for a copy of the transcript;
 - (4) Failing to notify all parties or their attorneys of a request for all or part of a transcript in sufficient time for other parties or their attorneys to request copies to be prepared and delivered to such other parties or attorneys simultaneously with the delivery of the original request;
- (5) (A) Giving, directly or indirectly, any gift, incentive, reward or other thing of value to an attorney, the attorney's clients or the representatives or agents of such attorney or clients, or (B) directly or indirectly benefiting from any gift or being employed as a result of any incentive, reward or other thing of value given by any person to an attorney, the attorney's clients or the representatives or agents of such attorney or clients;
- 123 (6) The reporting of any proceeding where the licensed court reporter 124 is a relative of a party to the proceeding or an attorney representing a 125 party to the proceeding within the second degree by affinity or 126 consanguinity;
- 127 (7) The reporting of any proceeding where the licensed court reporter 128 has a financial interest in the proceeding or is associated with a firm that 129 has a financial interest in the proceeding; or
- 130 (8) Producing a materially inaccurate transcript.
 - (c) The board may, after a hearing conducted in accordance with chapter 54 of the general statutes, impose a civil penalty of not more than one thousand dollars per violation on any entity who (1) violates any provision of sections 3 to 9, inclusive, of this act, or (2) wilfully

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employs or supplies for employment as an independent contractor a person who engages in the practice of court reporting in this state in violation of (A) the provisions of sections 3 to 9, inclusive, of this act, or (B) any provision of regulations adopted in accordance with section 10 of this act.

- (d) Any entity that has had a certificate of registration suspended or revoked pursuant to this section may reapply to the board for reinstatement of such entity's certificate of registration immediately after the registration suspension period has elapsed or not earlier than ninety days after the date on which the certificate of registration was revoked.
- Sec. 5. (NEW) (*Effective July 1, 2020*) (a) Except as provided in subsection (b) of this section, on and after October 1, 2020, no person shall: (1) Use the title "court reporter" or any similar designation, or display or use any words, letters, figures, titles, advertisements or other devices to indicate that such person is a licensed court reporter, or (2) practice court reporting for compensation in this state.
 - (b) The licensure requirements prescribed in subsection (a) of this section and sections 6 and 7 of this act shall not apply to any individual who is an official court reporter, whether compensated on a salary or per diem basis, but only to the extent that such individual performs court reporting in the course of such individual's employment as an official court reporter.
 - Sec. 6. (NEW) (*Effective July 1, 2020*) (a) On and after October 1, 2020, any person seeking licensure to practice court reporting in this state shall apply to the Department of Consumer Protection, in writing, on a form provided by the Commissioner of Consumer Protection. Such application shall include the applicant's name, residence address, business address and such other information as the commissioner may require.
 - (b) Notwithstanding the provisions of subsection (a) of this section, and section 7 of this act, any person who submits evidence satisfactory

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- 167 to the Department of Consumer Protection that such person held a
- department-issued license in good standing as a shorthand reporter on
- December 31, 2017, may, upon the request of such person and at no cost
- to such person, be issued a license by the department to practice court
- 171 reporting for a period of three years from the date of issuance of the
- 172 license.
- 173 (c) Except as provided in subsection (b) of this section, each
- application for licensure to practice court reporting in this state shall be
- accompanied by a nonrefundable application fee of one hundred dollars
- and a licensure fee of two hundred dollars. The fee for the renewal of
- 177 such licensure shall be two hundred dollars.
- Sec. 7. (NEW) (Effective July 1, 2020) (a) No person shall receive a
- 179 license to practice court reporting in this state under this section and
- section 6 of this act unless such person has passed an examination that
- 181 is substantially similar to the examination of the National Court
- 182 Reporters Association, or has submitted evidence satisfactory to the
- 183 Department of Consumer Protection that such person is a Registered
- 184 Professional Reporter of the National Court Reporters Association or its
- 185 equivalent.
- (b) If the applicant satisfies the requirements of this section and pays
- the fee required by section 6 of this act, the commissioner shall issue a
- license to the applicant, indicating that the person named in such license
- is authorized to practice shorthand reporting in this state. Any such
- 190 license shall be valid for a period of three years.
- 191 (c) Any license issued under the provisions of this section and section
- 192 6 of this act may be renewed every three years upon payment of the fee
- required under section 6 of this act.
- (d) A person who has failed to renew such license for a period of over
- 195 two years from the date of expiration of such license may have such
- 196 license reinstated only upon complying with the examination
- requirements of subsection (a) of this section.

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(e) Any person that obtains a license under this section shall: (1) 198 199 Display the department- issued license number on the person's business 200 card, stationery, transcripts, advertisements and any other document 201 used by such person in the provision of court reporting services, and (2) 202 comply with the provisions of sections 4 to 9, inclusive, of this act.

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- Sec. 8. (NEW) (Effective July 1, 2020) (a) The State Board of Examiners of Court Reporters, after a hearing conducted in accordance with chapter 54 of the general statutes, may suspend or revoke the license of a court reporter if the holder of such license has been found by the board to have: (1) Knowingly made a false, misleading or deceptive representation relating to his or her work as a court reporter; or (2) been convicted of a felony involving fraud or dishonesty, subject to the provisions of section 46a-80 of the general statutes.
- (b) In addition to any action that may be taken by the board pursuant to subsection (a) of this section, the board may suspend or revoke the license of a court reporter for:
- 214 (1) Failing to deliver a transcript to a client or court in a timely 215 manner;
- 216 (2) Producing an incomplete transcript, unless such incomplete transcript was produced upon the order of a court, agreement of the parties or request of a party;
 - (3) Failing to charge all parties or their attorneys the same rate or charge for like services performed in a proceeding, including any charge for a copy of the transcript;
 - (4) Failing to notify all parties or their attorneys of a request for all or part of a transcript in sufficient time for other parties or their attorneys to request copies to be prepared and delivered to such other parties or attorneys simultaneously with the delivery of the original request;
 - (5) (A) Giving, directly or indirectly, any gift, incentive, reward or other thing of value to an attorney, the attorney's clients or the representatives or agents of such attorney or clients, or (B) directly or

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- 229 indirectly benefiting from any gift or being employed as a result of any
- 230 incentive, reward or other thing of value given by any person to an
- attorney, the attorney's clients or the representatives or agents of such
- 232 attorney or clients;
- 233 (6) The reporting of any proceeding where the licensed court reporter
- is a relative of a party to the proceeding or an attorney representing a
- 235 party to the proceeding within the second degree by affinity or
- 236 consanguinity;
- 237 (7) The reporting of any proceeding where the licensed court reporter
- 238 has a financial interest in the proceeding or is associated with a firm that
- 239 has a financial interest in the proceeding; or
- 240 (8) Producing a materially inaccurate transcript.
- (c) In addition to any action that may be taken by the board pursuant
- 242 to subsections (a) and (b) of this section, the board may, after a hearing
- 243 conducted in accordance with chapter 54 of the general statutes, impose
- 244 a civil penalty of not more than one thousand dollars per violation on
- 245 any court reporter who violates any provision of (1) sections 4 to 9,
- 246 inclusive, of this act, or (2) regulations adopted in accordance with
- 247 section 10 of this act.
- 248 (d) Any person who has his or her license suspended or revoked
- 249 pursuant to this section may reapply to the board for reinstatement of
- such person's license immediately after the license suspension period
- 251 has elapsed or not earlier than ninety days after the date on which the
- 252 license was revoked.
- 253 Sec. 9. (NEW) (Effective July 1, 2020) (a) On and after October 1, 2020,
- any final transcript produced by a court reporter, except an official court
- 255 reporter, for a legal proceeding within this state shall contain a
- 256 certification page that specifies the number of pages of the final
- 257 transcript produced and meets the following "Transcript Format
- 258 Guidelines" promulgated by the National Court Reporters Association,
- as amended from time to time:

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(1) Each page of a final transcript shall contain no fewer than twenty-260 261 five typed lines on standard paper eight and one-half by eleven inches 262 in size; 263 (2) Each page of a final transcript shall have no fewer than nine or ten 264 characters per typed inch; 265 (3) The left-hand margin of the each page of a final transcript shall be 266 set at not more than one and three-quarter inches; 267 (4) The right-hand margin of each page of a final transcript shall be 268 set at not more than three-eighths of an inch; 269 (5) Each question and answer shall begin on a separate line; 270 (6) Each question and answer shall begin no more than five spaces 271 from the left-hand margin and the statement following a question or 272 answer shall begin no more than five spaces from the left-hand margin; 273 (7) Any carryover question and answer line shall begin at the left-274 hand margin; 275 (8) Any colloquy shall begin no more than fifteen spaces from the left-276 hand margin and any carryover line of a colloquy shall begin at the left-277 hand margin; 278 (9) Quoted material shall begin no more than fifteen spaces from the 279 left-hand margin and any carryover line of quoted material shall begin 280 no more than ten spaces from the left-hand margin; and 281 (10) Any parenthetical or exhibit marking shall begin no more than 282 fifteen spaces from the left-hand margin and any carryover line shall 283 begin no more than fifteen spaces from the left-hand margin. 284 (b) No court reporter, except an official court reporter producing a 285 transcript for a legal proceeding within this state, shall charge any other

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party to the legal proceeding more for a final transcript than the amount

that is charged to the hiring party for the final transcript, excluding

ancillary attendance and other fees or additional services requested and

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charged to the hiring party. If additional services are requested by any party, including, but not limited to, expedited delivery of a transcript, daily copy of a transcript, streaming text or video, such services shall be offered at the same rate to all parties to the legal proceeding, irrespective of which party hired the court reporter. A final transcript shall be made accessible to all parties to the legal proceeding at the same time. Upon written request to a court reporter by any party to a legal proceeding, the invoice submitted by the court reporter to any party for the final transcript and any additional services rendered in the legal proceeding, shall be provided to the requesting party no later than thirty days after the date of receipt of the request.

(c) The provisions of this section shall not apply to any proceeding conducted in accordance with the provisions of chapter 568 of the general statutes.

Sec. 10. (NEW) (*Effective July 1, 2020*) The Commissioner of Consumer Protection shall adopt regulations in accordance with the provisions of chapter 54 of the general statutes to carry out the provisions of sections 1 to 9, inclusive, of this act.

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2020	New section
Sec. 2	July 1, 2020	New section
Sec. 3	July 1, 2020	New section
Sec. 4	July 1, 2020	New section
Sec. 5	July 1, 2020	New section
Sec. 6	July 1, 2020	New section
Sec. 7	July 1, 2020	New section
Sec. 8	July 1, 2020	New section
Sec. 9	July 1, 2020	New section
Sec. 10	July 1, 2020	New section

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Statement of Purpose:

To require entities that provide court reporting services to register with the Department of Consumer Protection and to require court reporters to be licensed by the Department of Consumer Protection.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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